

Ralph Lai

M.Com B.Sc CCA_ANZ CPA C.Eng CISA (USA) MBCS (UK)

Ralph is the **Principal Risk Management & Assurance Consultant**, bringing leading edge knowledge for clients requiring assurance that strategic and key business risks are managed.

Ralph's **Core Competency** is bringing an alignment between the **internal audit** and **risk management** functions that result in strengthening the Controls that manage Risks and bringing a sound definition of the Control Framework. Ralph brings to our clients' skills and knowledge in:



- Managing the Internal Audit department, prepare annual audit plan for Audit Committee approval
- Performing risk based operational audits and risk management reviews
- Performing application systems audits and technical IT audits to ensure system integrity and security
- Analytics and reporting

Education/ Qualifications

Master of Commerce - Professional Accounting (M.Com)
University of New South Wales (completed in 1989)

Bachelor of Science (Honours) (B.Sc)
Major in Mathematics & Computation (external programme)
University of London, U.K.

Higher Diploma in Mathematics, Statistics and
Computing - Major in Computing, Hong Kong Polytechnic University

Professional Qualifications:

Certified Chartered Accountant Australia-NZ.	(CCA_ANZ)
Certified Practising Accountant – CPA Australia.	(CPA)
Chartered Engineer, U.K. - Information Systems Engineering	(C.Eng)
Certified Information Systems Auditor, USA	(CISA)

Professional Membership:

Member of British Computer Society, UK (MBCS)
Member of ISACA, USA
Member of Institute of Internal Auditors, Australia
Member of CPA Australia

Assignments in ERM – GRC

Ralph is assisting several customers with ERM (or GRC as the context relates) implementation services, involving mentoring for several customers including Al-Khaji Joint Operations (Oil & Gas) in Saudi Arabia and North West Disability Services.

There is no substitute for experience that Ralph brings to a combination of the methodological approach that you take and the technology systems that support your implementation.

CV

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- Training and mentoring of staff to utilise good practices in risk management and governance; this involves the methodology to accomplish business processes
- Reporting designs, and producing work product related to KnowRisk software for:
 - Standard reports across a range of formats; Heat Maps, bar Charts and Tabular Reports
 - Export to Excel formats
 - Export to Word formats
 - Design of an executive report of the status of managing risks and treatments (actions)

Employment Summary

July 2016 -	CorProfit Systems Pty Ltd Principal Risk Management & Assurance Consultant
June 2016 -	<u>HCF, Australia</u> (Health & Life Insurance)
January 1992	Chief Internal Auditor (Feb. 1996 to July 2016) <i>Report to the Board of Directors (Audit Committee)</i> Manager, Information Systems Audit (Jan. 1992 - Feb. 1996)
February 1986 -	<u>Chase AMP Bank Limited, Australia</u> - Internal Audit Department
December 1991	Manager, Systems Review (IT Audit) (Jan. 1987 - Dec. 1991) Systems Review Officer (IT Audit) (Feb. 1986 - Dec. 1986)
September 1984 -	<u>Citibank N.A., Hong Kong</u>
December 1985	Assistant Vice President and Project Manager, Global Electronic Banking Systems
May 1981-	<u>Price Waterhouse Associates, Hong Kong</u>
August 1984	Management Consulting Services Department EDP Consultant (Manager) (July 1982 - August 1984) Senior Systems Analyst (May 1981 - June 1982)
September 1977 -	<u>NCR (HONG KONG) LIMITED</u>
May 1981	Systems Analyst - Banking & Finance Software Programmer